

10/521776

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PATENT  
36856.1316

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of : Yuichi TAKAMINE	International Application No.: PCT/JP2004/011908
Serial No.: Unknown	International Filing Date: August 19, 2004
Filed: Concurrently herewith	
For: SURFACE ACOUSTIC WAVE FILTER AND COMMUNICATION APPARATUS	

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In accordance with 37 CFR §1.56, and in recognition of his duty to disclose to the United States Patent and Trademark Office relevant information known to be material to patentability, Applicant herewith submits copies of the prior art listed on the attached Form PTO-1449. The prior art references were cited in the International Search Report for PCT Application No. PCT/JP2004/011908.

The statement is not a representation that all of the information cited is necessarily effective as prior art against the application.

Applicant respectfully requests that the disclosed references be made of record in the subject application.

Respectfully submitted,

Date: January 18, 2005



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Substitute for form 1449/PTO

Complete if known

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 1 of 1

Application Number	10/521776
Filing Date	
First Named Inventor	Yuichi TAKAMINE
Art Unit	
Examiner Name	
Attorney Docket Number	36856.1316

**U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number Number-Kind Code <sup>2</sup> (if known)	Publication Date MM -YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document Country Code*Number-Kind Code <sup>5</sup> (if known)	Publication Date MM -YYYY	Country of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
	1	JP 2003-046369 (with English abstract and machine translation)	02/2003	JAPAN		X
	2	JP 11-097966 (with English abstract and machine translation)	04/1999	JAPAN		X
	3	EP 1 330 027 A2	07/2003	EUROPE		X

\*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered, include copy of this form with next communication to applicant.

<sup>1</sup> Applicant unique citation designation number (optional). <sup>2</sup> See Kind Codes of USPTO Patent documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Abstract is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14.